

HW. P30.



$$D_4 = \langle r, s \mid r^4 = s^2 = (rs)^2 = 1 \rangle$$

$$r = (1234)$$

$$s = (12)(34)$$

$$= \langle 1, r, r^2, r^3, s, rs, r^2s, r^3s \rangle$$

$$\parallel \begin{matrix} sr^3 & sr^2 & sr \end{matrix}$$

$$rs = sr^{-1} \iff (rs)^2 = 1 \iff sr^{-1}r^{-1} = sr^{-2}$$

$$\iff 1 = s^2 r^4 = 1 \quad \checkmark$$

$$D_4 = \{1\} \cup \{r, r^2, r^3\} \cup \{s, r^2s\} \cup \{rs, r^3s\}$$

$$srs = s \cdot s^{-1} \cdot r^{-1} = r^{-1} = r^3$$

$$=: [1] \cup [r] \cup [r^2] \cup [s] \cup [rs]$$

$$C_1 = 1, \quad C_2 = r + r^3, \quad C_3 = r^2, \quad C_4 = s + r^2s, \quad C_5 = rs + r^3s$$

	$C_1$	$C_2$	$C_3$	$C_4$	$C_5$
$C_1$	$C_1$		$C_3$	$C_4$	$C_5$
$C_2$		$2C_1 + 2C_3$			
$C_3$					
$C_4$					
$C_5$					

$$C_2 \cdot C_2 = (r + r^3)(r + r^3) = r^2 + r^4 + r^4 + r^6 = 2 + 2r^2 = 2C_1 + 2C_3$$

### Character table for point group $D_4$

$D_4$	E	$2C_4(z)$	$C_2(z)$	$2C_2'$	$2C_2''$	linear functions, rotations	quadratic functions	cubic functions
$A_1$	+1	+1	+1	+1	+1	-	$x^2+y^2, z^2$	-
$A_2$	+1	+1	+1	-1	-1	$z, R_z$	-	$z^3, z(x^2+y^2)$
$B_1$	+1	-1	+1	+1	-1	-	$x^2-y^2$	xyz
$B_2$	+1	-1	+1	-1	+1	-	xy	$z(x^2-y^2)$
E	+2	0	-2	0	0	(x, y) ( $R_x, R_y$ )	(xz, yz)	( $xz^2, yz^2$ ) ( $xy^2, x^2y$ ) ( $x^3, y^3$ )

Mulliken symbols:

A/B : 1D irreps. symmetric/antisymmetric  
w.r.t. principle rotation axis.

$$\chi(C_n) = \pm 1$$

E 2D "entartet", degenerate

T 3D

G 4D

H 5D

subscript:

$1/2$  : symm / antisymm. w.r.t. vertical mirror plane

$g/u$  : "g/u" gerade / ungerade  
even / odd.

inversion  $\chi(i) = \pm 1$

'/' : sym / antisym. w.r.t.  $\sigma_h$

①

## Characters of irreps of $SO(3)$ . SU(2)

Conjugacy classes labeled by rotation angle  $\theta$   
around some axes

$$R = e^{i\theta \hat{n} \cdot \hat{J}} \sim R_z = e^{i\theta J_z}$$

$$J_z = \text{diag}(j, j-1, \dots, -j)$$

$$\chi^j(\theta) = \text{Tr} \text{diag}(e^{i\theta j}, e^{i\theta(j-1)}, \dots, e^{-i\theta j})$$

$$= e^{i\theta j} \frac{1 - e^{-i(2j+1)\theta}}{1 - e^{-i\theta}} = \frac{\sin(j + \frac{1}{2})\theta}{\sin \frac{\theta}{2}}$$

$$\begin{aligned} \text{Haar measure} & \frac{1}{2\pi} \int_0^{2\pi} \sin^2 \frac{\theta}{2} d\theta \\ & = \frac{1}{2\pi} \int_0^{2\pi} (1 - \cos \theta) d\theta \end{aligned}$$

$$\langle \chi^j, \chi^{j'} \rangle = \delta_{jj'}$$

$$V^{j_1} \otimes V^{j_2} \cong \bigoplus_{j=|j_1-j_2|}^{j_1+j_2} V^j$$

$$\chi_{j_1 \otimes j_2} = \chi_{j_1} \cdot \chi_{j_2} = \sum_{j=|j_1-j_2|}^{j_1+j_2} \chi^j$$

$$\langle \chi_j, \chi_{j_1 \otimes j_2} \rangle = 1 \quad \text{iff } |j_1 - j_2| \leq j \leq j_1 + j_2$$

$$V^{\frac{1}{2}} \otimes V^{\frac{1}{2}} \cong V^0 \oplus V^1$$

$$| \frac{j}{2}, \pm \frac{m}{2} \rangle \otimes | \frac{j}{2}, \pm \frac{m}{2} \rangle \rightarrow | j, m \rangle$$

$$| 1, \pm 1 \rangle, | 1, 0 \rangle$$

CG-coefficients  $\langle j_1 m_1, j_2 m_2 | j, m \rangle$

Application of group representations.

(Preselhaus . Group Theory )

•  $O(3)$

↓ embed in a crystal



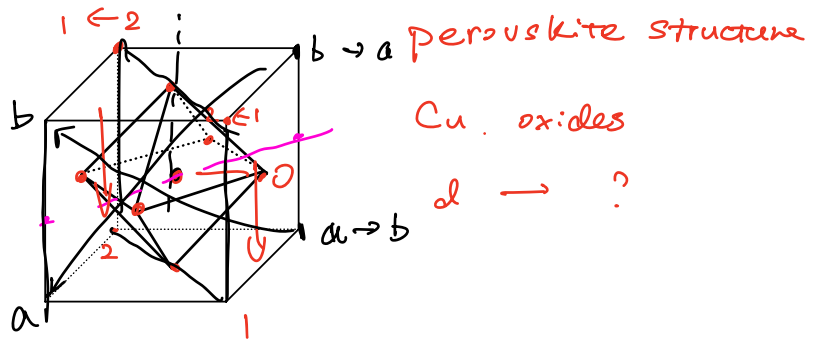
$O(3) \rightarrow PG.$

$$O(3) \stackrel{u}{=} SO(3) \times Z_2$$

↓  $V^j$  is irrep of  $O(3)$

$$V^j = \text{span} \{ Y_{lm} \}$$

$$\underline{(-1)^l}$$



Point group  $O_h \subset O(3)$

24

$E; 8C_3; 3C_2; 6C_2'; 6C_4; 6C_6; 6C_3; 6C_2'' \subset SO(3)$

(body diagonal)

Couple with inversion

③

24  $I$  ;  $8S_6 = 2 \cdot C_3$  ;  $3\sigma_h = 1C_2$  ;  $6\sigma_d = 1 \cdot C_2'$

$\sigma_h$ : mirror  $\perp$  principle rot. axis

$\sigma_d$ : diag. plane

$|O_h| = 48$

$O_h$	E	$8C_3$	$6C_2$	$6C_4$	$3C_2=(C_4)^2$	i	$6S_4$	$8S_6$	$3\sigma_h$	$6\sigma_d$	linear functions, rotations	quadratic functions	cubic functions
$A_{1g}$	+1	+1	+1	+1	+1	+1	+1	+1	+1	+1	-	$x^2+y^2+z^2$	-
$A_{2g}$	+1	+1	-1	-1	+1	+1	-1	+1	+1	-1	- $\rho(z)=1$	-	-
$E_g$	+2	-1	0	0	+2	+2	0	-1	+2	0	-	$(2z^2-x^2-y^2, x^2-y^2)$	-
$T_{1g}$	+3	0	-1	+1	-1	+3	+1	0	-1	-1	$(R_x, R_y, R_z)$	-	-
$T_{2g}$	+3	0	+1	-1	-1	+3	-1	0	-1	+1	-	$(xz, yz, xy)$	-
$A_{1u}$	+1	+1	+1	+1	+1	-1	-1	-1	-1	-1	-	-	-
$A_{2u}$	+1	+1	-1	-1	+1	-1	+1	-1	-1	+1	-	-	xyz
$E_u$	+2	-1	0	0	+2	-2	0	+1	-2	0	- $\rho(r)=-1$	-	-
$T_{1u}$	+3	0	-1	+1	-1	-3	-1	0	+1	+1	$(x, y, z)$	-	$(x^3, y^3, z^3) [x(z^2+y^2), y(z^2+x^2), z(x^2+y^2)]$
$T_{2u}$	+3	0	+1	-1	-1	-3	+1	0	+1	-1	-	-	$[x(z^2-y^2), y(z^2-x^2), z(x^2-y^2)]$

$$\chi(\theta) = \frac{\sin(l + \frac{1}{2})\theta}{\sin \frac{\theta}{2}}$$

① s orbital  $l=0$  dim irreps of  $O_h$   
1  $A_{1g}$

② p orbital  $l=1$  3 →  $T_{1u}$

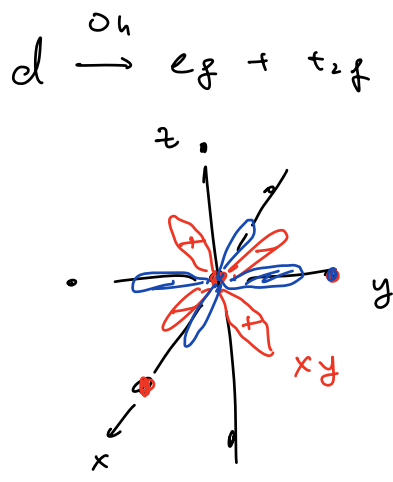
	$E$	$8C_3$	$3C_2$	$6C_4'$	$6C_6$
$\chi:$	3	$\frac{\sin \frac{3}{2} \cdot \frac{2}{3}\pi}{\sin \frac{1}{3}\pi} = 0$	$\frac{\sin \frac{3}{2} \cdot \pi}{\sin \frac{\pi}{2}} = -1$	-1	1
$\chi'$	-3	0	1	1	-1

$$\langle X, X \rangle = \frac{2(9 + 3 + 6 + 6)}{48} = 1$$

⑩

③ d orbital  $l=2$  5  $E_g + T_{2g}$

④ f  $l=3$  7  $A_{2u} + T_{1u} + T_{2u}$   
1 + 3 + 3



$e_g: \begin{cases} 3z^2 - 1 \\ x^2 - y^2 \end{cases}$

$t_{2g}: \begin{cases} xy \\ yz \\ zx \end{cases} \sim \begin{cases} x \\ y \\ z \end{cases} \text{ "P"}$

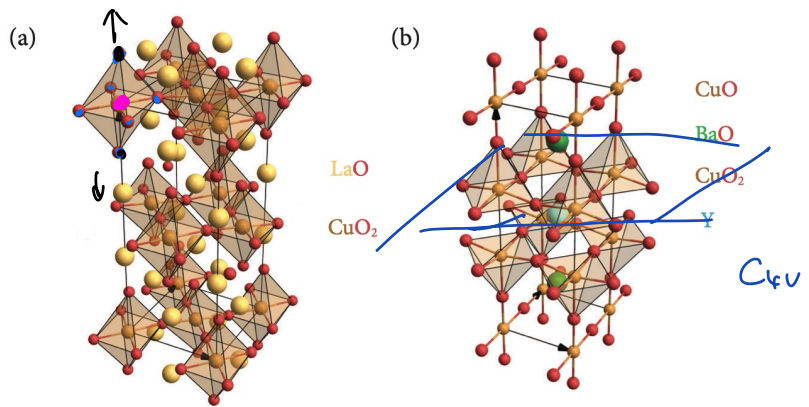
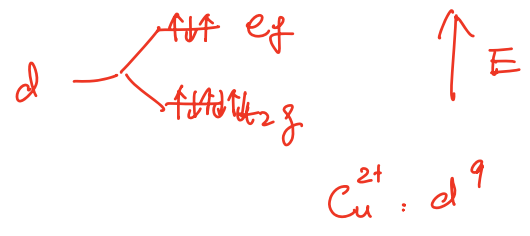
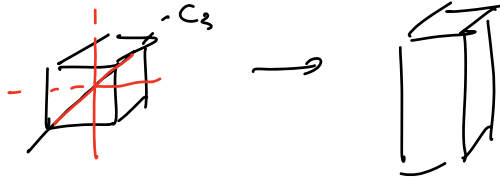


Figure 2.8 | Structures of (a)  $La_2CuO_4$  and (b)  $YBa_2Cu_3O_7$ .

tetragonal



⑦

**Character table for point group D<sub>4h</sub>**  
(x axis coincident with C<sub>2</sub> axis)

D <sub>4h</sub>	E	2C <sub>4</sub> (z)	C <sub>2</sub>	2C <sub>2</sub> '	2C <sub>2</sub> ''	i	2S <sub>4</sub>	σ <sub>h</sub>	2σ <sub>v</sub>	2σ <sub>d</sub>	linear functions, rotations	quadratic functions	cubic functions
A <sub>1g</sub>	+1	+1	+1	+1	+1	+1	+1	+1	+1	+1	-	x <sup>2</sup> +y <sup>2</sup> , z <sup>2</sup>	-
A <sub>2g</sub>	+1	+1	+1	-1	-1	+1	+1	+1	-1	-1	R <sub>z</sub>	-	-
B <sub>1g</sub>	+1	-1	+1	+1	-1	+1	-1	+1	+1	-1	-	x <sup>2</sup> -y <sup>2</sup>	-
B <sub>2g</sub>	+1	-1	+1	-1	+1	+1	-1	+1	-1	+1	-	xy	-
E <sub>g</sub>	+2	0	-2	0	0	+2	0	-2	0	0	(R <sub>x</sub> , R <sub>y</sub> )	(xz, yz)	-
A <sub>1u</sub>	+1	+1	+1	+1	+1	-1	-1	-1	-1	-1	-	-	-
A <sub>2u</sub>	+1	+1	+1	-1	-1	-1	-1	-1	+1	+1	z	-	z <sup>3</sup> , z(x <sup>2</sup> +y <sup>2</sup> )
B <sub>1u</sub>	+1	-1	+1	+1	-1	-1	+1	-1	-1	+1	-	-	xyz
B <sub>2u</sub>	+1	-1	+1	-1	+1	-1	+1	-1	+1	-1	-	-	z(x <sup>2</sup> -y <sup>2</sup> )
E <sub>u</sub>	+2	0	-2	0	0	-2	0	+2	0	0	(x, y)	-	(xz <sup>2</sup> , yz <sup>2</sup> ) (xy <sup>2</sup> , x <sup>2</sup> y), (x <sup>3</sup> , y <sup>3</sup> )

$$O_h \quad \tilde{E}_g \quad 2 \quad 0 \quad 2 \quad 2 \quad 0$$

$$\tilde{T}_{2g} \quad 3 \quad 1 \quad -1 \quad -1 \quad 1$$

$$a_{b1g} = \langle \chi_{b1g} \cdot \chi_{\tilde{E}_g} \rangle = 1$$

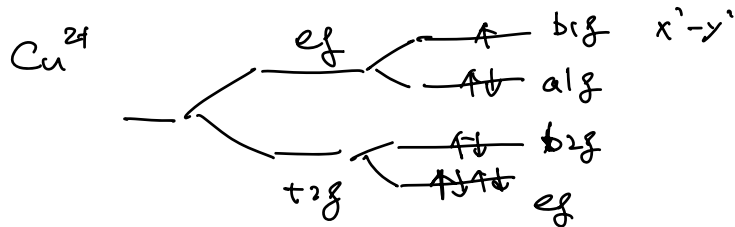
$$O_h \quad \longrightarrow \quad D_{4h}$$

$$E_g \quad \longrightarrow \quad A_{1g} + B_{1g}$$

$$3z^2-1, x^2-y^2 \quad \quad \quad 3z^2-1 \quad x^2-y^2$$

$$T_{2g} \quad \longrightarrow \quad E_g + B_{2g}$$

$$xy/yz/xz \quad \quad \quad yz/xz \quad xy$$



②

Cuprate superconductors. only active orbital

is  $x^2 - y^2$

- selection rules of dipole transitions.

$$H_{int} = \frac{(\vec{p} + e\vec{A})^2}{2m} - \frac{\vec{p}^2}{2m} = \frac{e}{m} \vec{p} \cdot \vec{A} + \frac{e^2}{2m} A^2$$

(coulomb gauge  
 $\nabla \cdot \vec{A} = 0$ )

$$A(\vec{r}) \propto \sum_{\vec{k}} \frac{\vec{\epsilon}}{\omega} \cdot a_{\vec{k}\epsilon} e^{i\vec{k} \cdot \vec{r}} + h.c.$$

↘ photon operators

$$\Rightarrow H_{int} \propto \vec{\epsilon} \cdot \vec{p}$$

$$W \propto |\langle f | H_{int} | i \rangle|^2 \delta(E_f - E_i)$$

$$\langle f | H_{int} | i \rangle \propto \vec{\epsilon} \cdot \langle f | \vec{p} | i \rangle$$

$$\propto \vec{\epsilon} \cdot \langle f | \nabla \psi(\vec{r}) | i \rangle$$

$$\propto \langle f | \nabla \psi(\vec{r}) | i \rangle$$

$$\propto (E_f - E_i) \langle f | \vec{r} | i \rangle$$

$$\langle f | \vec{r} | i \rangle \propto \langle l' m' | Y_{\vec{r}}^{l'}(\hat{r}) | l m \rangle$$

$$l' = 0, \pm 1$$



3j symbol  $l \propto \begin{pmatrix} l' & 1 & l \\ -m' & 0 & m \end{pmatrix} \begin{pmatrix} l' & 1 & l \\ 0 & 0 & 0 \end{pmatrix}$  ③

$\underbrace{\hspace{10em}}_A \quad \underbrace{\hspace{10em}}_B$

Selection rules:  $\sum m_i = 0$

A:  $-m' + 0 + m = 0$



$|l' - l| \leq 1$

B:  $\forall m = 0. \quad l' + l + l = \text{even.}$

$\Rightarrow$  dipole transition selection rule:

$\left\{ \begin{array}{l} \Delta l = \pm 1 \\ \Delta m = 0, \pm 1 \end{array} \right.$

Cu:  $2p \rightarrow 3d$



$l=2 \quad m=\pm 2$

$d_{x^2-y^2} = \frac{1}{\sqrt{2}} (Y_{2,2} + Y_{2,-2})$

A.  $\begin{pmatrix} l' & 1 & l \\ -m' & 0 & m \end{pmatrix} \neq 0$

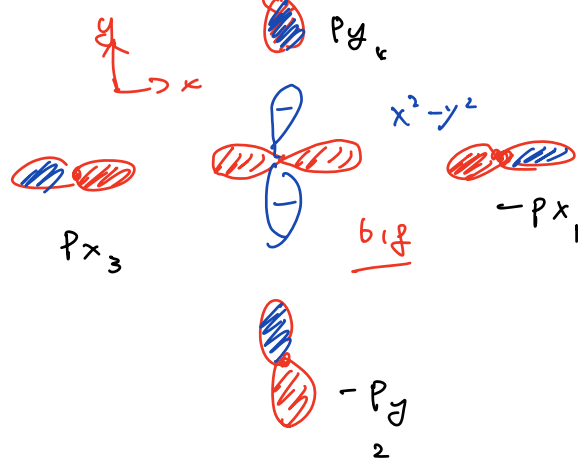
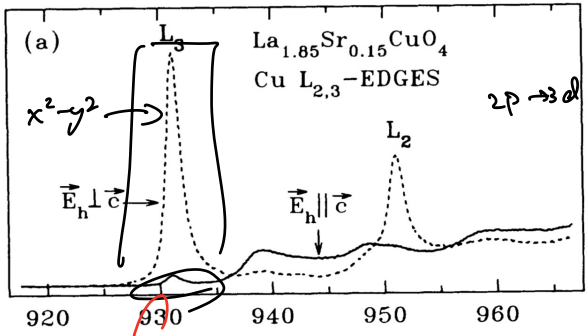
$\downarrow \quad \downarrow \quad \rightarrow |m| \leq 1$

$m' = \pm 2 \quad |0| \leq 1$

$0 = \pm 1 \quad m = 1$

$0 = \pm 1: \quad \vec{E}, \vec{r} \parallel x, y \quad \checkmark$

$\vec{E}, \vec{r} \parallel z. \quad m=0$



$$\frac{1}{2} (-p_{x1} - p_{y2} + p_{x3} + p_{y4})$$

$\sim b_{1g}$

$d-P$

"Zhang-Rice Singlet"

Zhang & Rice

PRB 37, 3759 (R) (1988)
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