

# Recap on Pontryagin duals

1. Let  $S$  be an abelian group.

①  $\hat{S} := \text{Hom}(S, U(1))$  the character group of  $S$

$$\chi: S \rightarrow U(1)$$

$$s \mapsto \chi(s)$$

$$(\chi_1 \cdot \chi_2)(s) = \chi_1(s) \chi_2(s)$$

a.  $S = \mathbb{R}$       $\chi_k(a) = e^{ika}$       $a \in \mathbb{R}$

$$\chi_{k_1} \cdot \chi_{k_2} = \chi_{k_1+k_2}$$

$$\Rightarrow \hat{\mathbb{R}} \cong \mathbb{R}$$

b.  $S = \mathbb{Z}_n$       $\chi(\tau) = \omega$       $\omega^n = 1$       $\omega_k = e^{i \frac{2\pi}{n} k}$

$k \in \{1, \dots, n\}$

$$\chi_{k_1} \cdot \chi_{k_2} = \chi_{k_1+k_2}$$

$$\Rightarrow \hat{\mathbb{Z}}_n \cong \mathbb{Z}_n$$

c.  $S = \mathbb{Z}$       $\chi_k(1) = e^{ik}$       $\chi_k(n) = e^{ikn} = e^{i(k+2\pi)n}$

$$k \sim k + 2\pi$$

$$k \in \mathbb{R}/\sim$$

$$\chi_{k_1} \cdot \chi_{k_2} = \chi_{k_1+k_2}$$

$$\Rightarrow \hat{\mathbb{Z}} \cong U(1)$$

d.  $S = U(1)$       $\omega = e^{i\phi}$       $\phi \in (0, 2\pi)$

$$\chi_k(\phi + 2\pi \cdot n) = e^{ik(\phi + 2\pi n)}$$

$$\Rightarrow k \in \mathbb{Z}$$

$$\Rightarrow \hat{U(1)} = \mathbb{Z}$$

$$\textcircled{2} \widehat{\widehat{S}} := \text{Hom}(\widehat{S}, U(1)) = \text{Hom}(\text{Hom}(S, U(1)), U(1))$$

$$\begin{aligned} \widehat{S} &\rightarrow U(1) \\ \text{ev}_S: \chi &\mapsto \chi(S) \in U(1) \end{aligned}$$

② Locally compact  $S$

$$\begin{aligned} \widehat{\widehat{S}} &\cong S \\ S &\rightarrow \widehat{\widehat{S}} \\ s &\mapsto \text{ev}_s \end{aligned}$$

$$\left\{ \begin{array}{l} \widehat{\widehat{\mathbb{R}}} = \widehat{\mathbb{R}} = \mathbb{R} \\ \widehat{\widehat{\mathbb{Z}_n}} = \mathbb{Z}_n \\ \widehat{\widehat{\mathbb{Z}}} = U(1) \quad \widehat{\widehat{\mathbb{Z}}} = \mathbb{Z} \\ \widehat{\widehat{U(1)}} = \mathbb{Z} \quad \widehat{\widehat{U(1)}} = U(1) \end{array} \right.$$

$$\left( \text{non-locally compact} \cdot \widehat{\widehat{\mathbb{Q}}} = \mathbb{R} \quad \widehat{\widehat{\mathbb{Q}}} = \mathbb{R} \neq \mathbb{Q} \right)$$

2 Fourier transform.

$$\begin{aligned} \widehat{f}(x) &= \int_{\mathbb{R}^d} d\xi \underbrace{f(\xi)}_{\widehat{f(\xi)}} \underbrace{\chi(x)}_{\widehat{\chi(x)}} \\ f(x) &= \int_{\mathbb{R}^d} d\xi \underbrace{f(\xi)}_{\widehat{f(\xi)}} \underbrace{\overline{\chi(x)}}_{\widehat{\overline{\chi(x)}}} \end{aligned} \quad \left( \begin{array}{l} \widehat{\widehat{\chi(x)}} \\ \chi(x) \end{array} \text{ factor of conversion} \right)$$

$$3. \widehat{\widehat{\mathbb{Z}}} \cong U(1)$$

$$\Gamma \cong \mathbb{Z}^d \quad T = \mathbb{R}^d / \Gamma \cong U(1)^d \quad \text{torus / unit cell}$$

$$\Gamma^\vee = \{ \gamma \in \mathbb{R}^d \cdot \exists \gamma' \in \mathbb{Z}^d \gamma \cong \mathbb{Z}^d \quad \text{reciprocal lattice} \}$$

$$\begin{aligned} T^v &= \mathbb{R}^d / \mathcal{P}^v \cong \underbrace{U(1)^d}_{\cong \hat{\mathcal{P}}} \quad \text{Brillouin zone} \\ & \quad \text{torus} \end{aligned}$$

$$\begin{aligned} \vec{k} \in T^v &\cong \hat{\mathcal{P}} \quad \vec{k} \text{ labels different irreps} \\ & \text{of the } \underbrace{\text{translation group } (\mathcal{P})}_{\text{discrete}}. \end{aligned}$$

$$\chi_{\vec{k}}(\vec{r}) = e^{2\pi i \vec{k} \cdot \vec{r}}$$

$$(\vec{r} \in \mathcal{P})$$

$$\vec{k} = \vec{k} + \vec{g} \quad \vec{g} \in \mathcal{P}^v$$

## 8.9. Pontryagin duality

Q

### 8.9.1. Application: Bloch's theorem

(see also, e.g. Ashcroft & Mermin

Solid state physics, Chap. 8)

The one-electron Hamiltonian,

$$H = -\frac{\hbar^2}{2m} \nabla^2 + U(\mathbf{r}) \quad U(\mathbf{r}) = U(\mathbf{r} + \boldsymbol{\rho})$$

( $\boldsymbol{\rho} \in \Gamma$ )

Bloch's theorem: The eigen states  $\varphi$  of

the above Hamiltonian  $H$  can be chosen to have the form

$$\varphi(\vec{r}) = e^{i\vec{k}\cdot\vec{r}} u(\vec{r})$$

with  $u(\mathbf{r} + \boldsymbol{\rho}) = u(\mathbf{r})$

Define the translation operator  $T(\boldsymbol{\rho})$

$$T(\boldsymbol{\rho}) \varphi(\mathbf{x}) = \varphi(\mathbf{x} + \boldsymbol{\rho})$$

The eigen states of  $H$  are 1D irreps of the translation group

$$\underline{T(\boldsymbol{\rho}) \varphi(\mathbf{x}) = \chi_{\vec{k}}(\boldsymbol{\rho}) \varphi(\mathbf{x}) \equiv \varphi(\mathbf{x} + \boldsymbol{\rho})}$$

↑

②

write  $\varphi(\mathbf{x}) = e^{2\pi i \vec{k} \cdot \vec{x}} u_{\mathbf{k}}(\mathbf{x})$

$$e^{2\pi i \mathbf{k} \cdot (\mathbf{x} + \boldsymbol{\gamma})} u_{\mathbf{k}}(\mathbf{x} + \boldsymbol{\gamma}) = e^{2\pi i \mathbf{k} \cdot \boldsymbol{\gamma}} \cdot e^{2\pi i \mathbf{k} \cdot \mathbf{x}} u_{\mathbf{k}}(\mathbf{x})$$

Bloch's theorem:  $\Rightarrow u_{\mathbf{k}}(\mathbf{x} + \boldsymbol{\gamma}) = u_{\mathbf{k}}(\mathbf{x}) \quad (\forall \boldsymbol{\gamma} \in \Gamma)$

The Hilbert space  $\mathcal{H} = L^2(\mathbb{R}^d)$  is isotypically decomposed as

$$\mathcal{H} \cong \int_{\Gamma^*}^{\oplus} d\bar{E} \mathcal{H}_{\bar{E}}$$

$$=$$

$\mathcal{H}_{\bar{E}}$  spanned by  $\{ \varphi(\mathbf{x}) \cdot \varphi(\mathbf{x} + \boldsymbol{\gamma}) = \lambda_{\bar{E}}(\boldsymbol{\gamma}) \varphi(\mathbf{x}) \}$

The eigenvalue problem

$$H \varphi(\mathbf{x}) = E \varphi(\mathbf{x}) \quad \underline{\mathbf{x} \in \mathbb{R}^d}$$

$$\forall \mathbf{k} \in \bar{\mathbf{k}} \Rightarrow H e^{2\pi i \mathbf{k} \cdot \mathbf{x}} u_{\mathbf{k}}(\mathbf{x}) = E_{\mathbf{k}} e^{2\pi i \mathbf{k} \cdot \mathbf{x}} u_{\mathbf{k}}(\mathbf{x})$$

$$H_{\mathbf{k}} u_{\mathbf{k}}(\mathbf{x}) = E_{\mathbf{k}} u_{\mathbf{k}}(\mathbf{x})$$

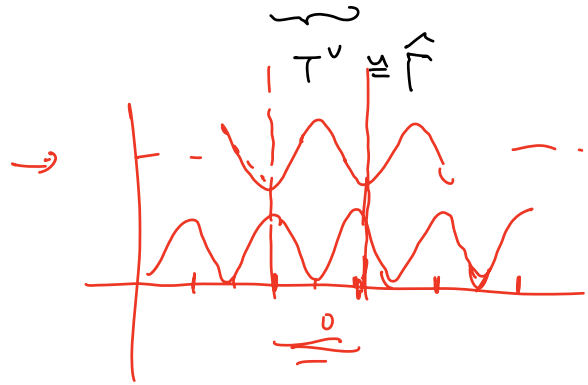
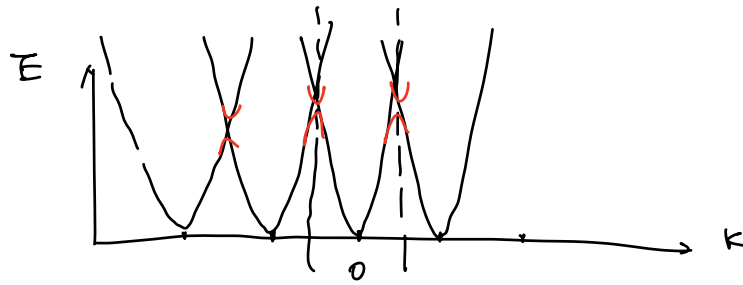
$$\text{with } H_{\mathbf{k}} = e^{-2\pi i \mathbf{k} \cdot \mathbf{x}} H e^{2\pi i \mathbf{k} \cdot \mathbf{x}}$$

$H_{\mathbf{k}}$  acts on  $L^2$ -functions on  $T = \mathbb{R}^d / \Gamma$

$$H_{\mathbf{k}} = U H_{\mathbf{k}'} U^{-1} \quad \left\{ \begin{array}{l} U = e^{2\pi i \boldsymbol{\gamma} \cdot \mathbf{x}} \\ \mathbf{k}' = \mathbf{k} + \boldsymbol{\gamma} \end{array} \right.$$

spectrum over different  $\bar{\mathbf{k}}$  is the bandstructure.

②



1st Brillouin zone  $\underline{k \in (-\pi, \pi)}$

§ 10. orthogonality relations of matrix elements of reps ; Peter-Weyl theorem.

Recall : ① Basics of rep. rep.

$$L^2(G) = \{ f : G \rightarrow \mathbb{C} \mid \int_G |f(g)|^2 dg < \infty \}$$

is a unitary  $G \times G$

②  $V$  a rep.  $\text{End}(V) := \text{Hom}(V, V)$  is also a unitary rep of  $G \times G$ .

$$S \in \text{End}(V) : \underline{(g_1, g_2) \cdot S = T(g_1) \cdot S \cdot T(g_2)^{-1}}$$

(4)

$$\begin{aligned} \iota: \text{End}(V) &\longrightarrow L^2(G) \\ \mathcal{S} &\longmapsto \text{Tr}_V(ST(\mathcal{S}^t)) := \varphi_{\mathcal{S}} \\ \text{matrix unit } \underline{e_{ij}} &\longmapsto M_{ij}^{\text{Tr}, -1} = M(\mathcal{S}^{-1})_{ji} \end{aligned}$$

$$\begin{aligned} \iota: \bigoplus_{\mu} \text{End}(V^{\mu}) &\longrightarrow L^2(G) \\ \bigoplus_i \mathcal{S}_i &\longmapsto \sum_i \varphi_{\mathcal{S}_i} \end{aligned}$$

Peter-Weyl theorem:  $G$  compact. Then there is an isomorphism of  $G \times G$  representations

$$L^2(G) \cong \bigoplus_{\mu} \text{End}(V^{\mu})$$

where we sum over the distinct isomorphism class of each irrep exactly once.

Peter-Weyl theorem is the consequence of two statements.

1. Let  $(V, T)$  be a unitary irrep of a compact group  $G$  on a complex vector space  $V$ .

Then  $V$  is finite dimensional.

(for a proof see GRN notes)

⑤

2. Let  $G$  be a compact group. The Hermitian inner product on  $L^2(G)$

$$\langle \varphi_1, \varphi_2 \rangle := \int_G \varphi_1^*(g) \varphi_2(g) dg$$

with normalized Haar measure, s.t. the

$$\text{volume of } G \int_G dg = 1.$$

$L^2(G) \cong \bigoplus_{\mu} a^{\mu} V^{(\mu)}$   
 Let  $\{V^{\mu}\}$  be a set of representations of distinct isomorphism classes of unitary irreps.

(Because of statement 1). For each  $V^{(\mu)}$

choose an orthonormal (ON) basis  $w_i^{(\mu)}$ .

$$i=1, \dots, n_{\mu}, \quad n_{\mu} = \dim V^{(\mu)}$$

$$T^{(\mu)}(g) w_i^{(\mu)} = \sum_{j=1}^{n_{\mu}} M_{ji}^{\mu}(g) w_j^{(\mu)}$$

$M_{ij}^{\mu}$  form a complete orthogonal set of functions on  $L^2(G)$ .

$$\langle M_{i_1, j_1}^{\mu_1}, M_{i_2, j_2}^{\mu_2} \rangle = \frac{1}{n_{\mu}} \delta^{\mu_1, \mu_2} \delta_{i_1, i_2} \delta_{j_1, j_2}$$

Proof.  $\forall A: V^{\mu} \rightarrow V^{\nu}$

$$\tilde{A} := \int_G T^{\nu}(g) A T^{\mu}(g^{-1}) dg$$

$$T^{\nu}(h) \tilde{A} = \int_G T^{\nu}(hg) A T^{\mu}(g^{-1}) dg$$



$$\stackrel{g \rightarrow h^{-1}g}{=} \int_{\mathcal{G}} T^\nu(g) A T^\mu((h^{-1}g)^{-1}) dg \quad (5)$$

$$= \left( \int_{\mathcal{G}} T^\nu(g) A T^\mu(g)^{-1} dg \right) T^\mu(h)$$

$$= \tilde{A} T^\mu(h)$$

$\tilde{A}$  is an intertwiner

$$\begin{array}{ccc} V^\mu & \xrightarrow{\tilde{A}} & V^\nu \\ \downarrow T^\mu & & \downarrow T^\nu \\ V^\mu & \xrightarrow{\tilde{A}} & V^\nu \end{array}$$

By Schur's lemma.  $\tilde{A} = \delta_{\mu\nu} \hat{A}$ .  $\hat{A} = \underline{\underline{C_A A_\nu}}$

Assign a basis for  $V^\mu$  and  $V^\nu$

$$[\tilde{A}]_{ia} = \delta_{\mu\nu} C_A \delta_{ia} = \int_{\mathcal{G}} dg [M^\nu(g) A M^\mu(g)^{-1}]_{ia}$$

$$= \sum_{i, i', a'} \int_{\mathcal{G}} dg M_{i i'}^\nu(g) A_{i' a'} M_{a' i}^\mu(g)^{-1} \quad (*)$$

set  $\mu = \nu$ ,  $i = a$ , and take the trace.

$$n C_A = \sum_{i, i', a'} \int_{\mathcal{G}} dg M_{i i'}^\mu(g) A_{i' a'} M_{a' i}^\mu(g)^{-1}$$

$$= \int_{\mathcal{G}} dg \text{Tr} \left( \overbrace{M^\mu(g)^{-1} M^\mu(g)} A \right)$$

$$= \int_{\mathcal{G}} dg (\text{Tr} A) = \text{Tr} A$$

$$\Rightarrow \underline{\underline{C_A = \frac{1}{n_\mu} \text{Tr} A}}$$

Now take  $A$  to be the matrix unit  $e_{jk}$

$$(\text{Tr} e_{jk} = \delta_{jk}).$$

insert into (\*)  $\frac{\delta_{jk}}{n_\mu}$   $\oplus$

$$\sum_{i,a'} \int_G dg \mu_{ii'}^\nu(\delta) \overset{[e_{jk}]_{i'a'}}{\parallel} (\delta_{ji'} \delta_{ka'}) \mu_{a'a}^\mu(\delta^{-1}) = \frac{\text{Tr} e_{jk}}{n_\mu} \delta_{\mu\nu} \delta_{ia}$$

$$\Rightarrow \int_G dg \mu_{ij}^\nu(\delta) \mu_{ka}^\mu(\delta^{-1}) = \frac{1}{n_\mu} \delta_{\mu\nu} \delta_{ia} \delta_{jk}$$

$$\downarrow$$

$$[\mu^\mu(\delta)]_{ka}^\dagger = \overline{\mu_{ak}^\mu(\delta)}$$

$$\Rightarrow \langle \mu_{ak}^\mu, \mu_{ij}^\nu \rangle = \frac{1}{n_\mu} \delta_{\mu\nu} \delta_{ia} \delta_{jk}$$

$$\Rightarrow \langle \mu_{i_1, j_1}^{\mu_1}, \mu_{i_2, j_2}^{\mu_2} \rangle = \frac{1}{n_\mu} \delta^{\mu_1, \mu_2} \delta_{i_1, i_2} \delta_{j_1, j_2}$$

We have shown that  $\{\mu_{ij}^\mu\}$  is a set of orthogonal functions on  $L^2(G)$ .

basis  $\Leftarrow$  completeness?

Let W be the subspace spanned by  $\{\mu_{ij}^\mu\}$ .

$\Rightarrow$  The orthogonal complement W<sup>⊥</sup> is also a unitary rep. of  $G \times G$ .

see cf. Chap 3 of Sepanski,

$\Rightarrow$  decomposable into unitary irreps  $V^\mu$

"Compact Lie groups". (GT 235)

$\{f_j\}_{j=1}^{n_\mu}$  transforms as  $V^\mu$  under regular rep.

$$R(g) f_j = \sum_k M(g)_{kj}^n f_k \quad (8)$$

$$\underline{f}(hg) = \sum_k M(g)_{kj}^n f_k(h)$$

$$h=1 \Rightarrow \underline{f}(g) = \sum_k \underline{f}_k(1) \underline{M}_{kj}^n(g) \quad (\forall g \in G)$$

$f \in W$  contradiction with the assumption  $f \in W^\perp$

$$\Rightarrow W^\perp = 0$$

[ if with left reg. rep.

$$L(g) f_j = \sum_k M(g)_{kj}^n f_k$$

$$\underline{f}(g^{-1}h) = \sum_k M(g)_{kj}^n f_k(h)$$

$$h=1 \Rightarrow \underline{f}(g) = \sum_k M(g^{-1})_{kj}^n f_k(1)$$

$$= \sum_k \underline{M}^n(g)_{jk} f_k(1)$$

$\{ \underline{M}^n_{ij} \}$  is another set of orthogonal basis

$\Rightarrow \{ M^n_{ij} \}$  is complete.